

WAMBO COAL PTY LTD
2019 ANNUAL COMPLIANCE REPORT
(EPBC 2016/7636 and EPBC 2016/7816)

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Document Control

Title	Wambo Coal 2019 Annual Compliance Report (EPBC 2016/7636 and EPBC 2016/7816)
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Revisions

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This report addresses Condition 5 of the Wambo Coal Pty Limited (WCPL) Environment Protection and Biodiversity Conservation (EPBC) Approval 2016/7636 for the South Wambo Underground Mine Extension, which states:

*The person taking the action must publish a report on the website addressing compliance with each of the conditions of this approval, including implementation of any management plan, program, strategy and review required by condition 1. The reporting period and report publication must comply with conditions D10 and D15 of Schedule 2 of the **state development consent**. Documentary evidence providing proof of the date of publication and non-compliance with any of the conditions of this approval must be provided to the **Department** (by email to EPBCMonitoring@environment.gov.au or an address as stipulated by the **Department**) at the same time as the compliance report is published. The person taking the action must continue to publish the report until such time as agreed in writing by the **Minister**.*

Table 1 provides a reconciliation of the conditions of EPBC 2016/7636 and their compliance status.

This report also addressed Condition 5 of the WCPL EPBC Approval 2016/7816 for the South Bates Extension Underground Mine, which states:

*The person taking the action must publish a report on the website addressing compliance with each of the conditions of this approval, including implementation of any management plan, program, strategy and review required by condition 1. The reporting period and report publication must comply with conditions D10 and D15 of Schedule 2 of the **state development consent**. Documentary evidence providing proof of the date of publication must be provided to the **Department** (by email to EPBCMonitoring@environment.gov.au or an address as stipulated by the **Department**) at the same time as the compliance report is published. The person taking the action must continue to publish the report until such time as agreed in writing by the **Minister**.*

Table 2 provides a reconciliation of the conditions of EPBC 2016/7816 and their compliance status.

Table 1: EPBC Approval 2016/7636 Compliance Summary

Condition	Status	Comment
<p>1. The person taking the action must:</p> <p>a. Not clear more than 0.9 ha of Central Hunter Valley Eucalypt Forest and 3.4 ha of foraging habitat for the Regent Honeyeater (<i>Anthochaera phrygia</i>).</p> <p>b. Implement conditions A1 and A2 of Schedule 2 of the state development consent to minimise the impacts of the action on protected matters.</p> <p>c. Implement environmental performance conditions B1-B11, B51-B55, B62-B73 and B75-B77 of Schedule 2 of the state development consent, where the conditions relate to avoiding, mitigating, managing, offsetting, monitoring or recording, or reporting on impacts to protected matters. In implementing these conditions, the approval holder must protect at least 18.3 ha of Central Hunter Valley Eucalypt Forest and at least 27.7 ha of foraging habitat for the Regent Honeyeater (<i>Anthochaera phrygia</i>) in perpetuity.</p>	Compliant	<p>The action has not yet been commenced.</p> <p>WCPL has not cleared more than 0.9 hectares (ha) of Central Hunter Valley Eucalypt Forest or more than 3.4 ha of foraging habitat for the Regent Honeyeater (<i>Anthochaera Phrygia</i>) as part of the action.</p> <p>WCPL implements Conditions A1 and A2, Schedule 2 of the Development Consent (DA305-7-2003).</p> <p>WCPL implements Conditions B1-B11, B51-B55, B62-B73 and B75-B77 of Schedule 2 of the Development Consent (DA305-7-2003).</p> <p>WCPL has applied to amend an existing Voluntary Conservation Agreement (VCA) under the NSW <i>National Parks and Wildlife Act, 1974</i> to conserve Remnant Woodland Enhancement Program Area E in perpetuity, which includes 18.3 ha of Central Hunter Valley Eucalypt Forest and Woodland and 27.7 ha of foraging habitat for the Regent Honeyeater.</p>
<p>2. Within 30 days after the commencement of the action, the person taking the action must advise the Department in writing of the actual date of commencement of the action.</p>	Not applicable	<p>The action has not yet been commenced.</p> <p>Mining at the approved South Wambo Underground Mine is planned to commence after completion of mining at the South Bates Underground Mine.</p> <p>WCPL will advise the Department in writing of the commencement of the action within 30 days of commencement.</p>
<p>3. Unless otherwise agreed to in writing by the Minister, the person taking the action must publish all management plans, programs, strategies and reviews required by condition 1. Each management plan, program, strategy and review must be published on the website, and notification must be provided to the Department, within 1 month of being approved by the Secretary of the NSW Department of Planning & Environment (or nominee of the Secretary).</p>	Compliant	<p>Copies of all management plans, programs, strategies and reviews required by condition 1 of EPBC 2016/7636 are available to the public on the Peabody Energy website https://www.peabodyenergy.com/Operations/Australia-Mining/New-South-Wales-Mining/Wambo-Approvals,-Plans-Reports.</p> <p>Relevant management plans include the Site Water Management Plan, Biodiversity Management Plan and Life of Mine Rejects Emplacement Strategy. An Extraction Plan for areas related to the action has not yet been prepared.</p> <p>Notification is provided to the Department within one month of the approval of any management plans, programs, strategies and reviews by the Secretary of the NSW Department of Planning & Environment (or nominee of the Secretary).</p>

Condition	Status	Comment
<p>4. The person taking the action must maintain accurate records substantiating all activities associated with or relevant to the conditions of approval, including measures taken to implement a management plan, program, strategy and review required by condition 1, and make them available upon request to the Department. Such records may be subject to audit by the Department or an independent auditor in accordance with section 458 of the EPBC Act, or used to verify compliance with the conditions of approval.</p>	Compliant	<p>WCPL maintains accurate records substantiating all activities associated with or relevant to the conditions of approval, including measures taken to implement a management plan, program, strategy and review required by condition 1.</p> <p>WCPL will make these records available upon request to the Department.</p>
<p>5. The person taking the action must publish a report on their website addressing compliance with each of the conditions of this approval, including implementation of any management plan, program, strategy and review required by condition 1. The reporting period and report publication must comply with conditions D10 and D15 of Schedule 2 of the state development consent. Documentary evidence providing proof of the date of publication and non-compliance with any of the conditions of this approval must be provided to the Department (by email to EPBCmonitoring@environment.gov.au or an address as stipulated by the Department) at the same time as the compliance report is published. The person taking the action must continue to publish the report until such time as agreed in writing by the Minister.</p>	Compliant	<p>The WCPL 2019 Annual Review (including this report) will be published on the Peabody Energy website https://www.peabodyenergy.com/Operations/Australia-Mining/New-South-Wales-Mining/Wambo-Approvals,-Plans-Reports.</p>
<p>6. Any potential or actual contravention of the conditions of this approval, including contravention of a commitment made in a management plan, program, strategy and review required by condition 1 must be reported to the Department within 7 days of the person taking the action becoming aware of the actual or potential contravention.</p>	Not applicable	<p>No events contravening (or potentially contravening) the conditions of this approval have occurred.</p>
<p>7. Upon the direction of the Minister, the person taking the action must ensure that an independent audit of compliance with the conditions of approval is conducted and a report submitted to the Minister. The independent auditor and audit criteria must be approved by the Minister prior to the commencement of the audit. The audit report must address the criteria to the satisfaction of the Minister.</p>	Not applicable	<p>Upon the direction of the Minister, WCPL will ensure that an independent audit of compliance with the conditions of approval is conducted and a report submitted to the Minister.</p>
<p>8. If, at any time after 5 years from the date of this approval, the person taking the action has not substantially commenced the action, then the person taking the action must not substantially commence the action without the written agreement of the Minister.</p>	Not applicable	<p>WCPL has not yet commenced the action.</p> <p>Mining at the approved South Wambo Underground Mine is planned to commence after completion of mining at the South Bates Underground Mine.</p> <p>If WCPL has not substantially commenced the South Wambo Underground Mine prior to 30 April 2022 (i.e. five years after the date EPBC 2016/7636 was granted), WCPL will seek the written agreement of the Minister prior to substantially commencing the action.</p>

Table 2: EPBC Approval 2016/7816 Compliance Summary

Condition	Status	Comment
1. The person taking the action must: <ul style="list-style-type: none"> a. Implement administrative conditions A1 and A2 of Schedule 2 of the state development consent to minimise the impacts of the action on protected matters. 	Compliant	WCPL implements Conditions A1 and A2, Schedule 2 of the Development Consent (DA305-7-2003).
<ul style="list-style-type: none"> b. Implement environmental performance conditions B1-B3, B7-B10, B51-B55 and B62- B68 of Schedule 2 of the state development consent, where the conditions relate to avoiding, mitigating, managing, offsetting, monitoring or recording, or reporting on impacts to protected matters. 	Compliant	WCPL implements Conditions B1-B3, B7-B10, B51-B55 and B62-B68, Schedule 2 of the Development Consent (DA305-7-2003).
<ul style="list-style-type: none"> c. Notify the Department in writing of any proposed change to the conditions of the state development consent, referred to in conditions 1a and 1b, within 5 business days of formally proposing a change or becoming aware of any other proposed change. 	Compliant	No changes to DA 305-7-2003 (the state development consent) have been proposed following the issue of EPBC Approval 2016/7816 on 4 May 2018. DA 305-7-2003 Modification 16 associated with the proposed United Wambo Open Cut Coal Mine Project was lodged on 8 August 2016. The Referral for EPBC 2016/7816 was lodged on 22 November 2016 and described the changes proposed by the United Wambo Open Cut Coal Mine Project.
<ul style="list-style-type: none"> d. Notify the Department in writing of any change to conditions of the state development consent, referred to in conditions 1a to 1b, within 5 business days of a change to conditions being finalised. 	Compliant	The Department was notified in writing of changes to the conditions in Development Consent (DA305-7-2003) (Modification 16) within the allocated time period following the conditions being finalised.
2. Within 25 business days after the commencement of the action , the person taking the action must advise the Department in writing of the actual date of commencement of the action .	Compliant	WCPL provided a notification to the Department of the actual date of commencement of the action (3 December 2018), however this was not completed within 30 days of the commencement of the action.

Condition	Status	Comment
<p>3. Unless otherwise agreed to in writing by the Minister, the person taking the action must publish all management plans and strategies required by conditions B1-B3, B7-B10, B51-B55 and B62-B68 of Schedule 2 of the state development consent on their website. Each management plan and strategy must be published on the website within 1 month of being approved by the Secretary and remain there for a period of no less than 5 years.</p>	Compliant	<p>Copies of all management plans, programs, strategies and reviews required by condition 1 of EPBC 2016/7636 are available to the public on the Peabody Energy website https://www.peabodyenergy.com/Operations/Australia-Mining/New-South-Wales-Mining/Wambo-Approvals.-Plans-Reports.</p> <p>Relevant management plans include the Extraction Plan for South Bates Extension Underground Mine Longwalls 17 to 20, Site Water Management Plan, Biodiversity Management Plan and Life of Mine Rejects Emplacement Strategy.</p> <p>Notification is provided to the Department within one month of the approval of any management plans, programs, strategies and reviews by the Secretary of the NSW Department of Planning & Environment (or nominee of the Secretary).</p>
<p>4. The person taking the action must maintain accurate records substantiating all activities associated with or relevant to these conditions of approval, including measures taken to implement the management plans and strategies required by conditions B1-B3, B7-B10, B51-B55 and B62-B68 of Schedule 2 of the state development consent, and make them available upon request to the Department. Such records may be subject to audit by the Department or an independent auditor in accordance with section 458 of the EPBC Act, or used to verify compliance with the conditions of this approval.</p>	Compliant	<p>WCPL maintains accurate records substantiating all activities associated with or relevant to the conditions of approval, including measures taken to implement a management plan, program, strategy and review required by Conditions B1-B3, B7-B10, B51-B55 and B62-B68, Schedule 2.</p> <p>WCPL will make these records available upon request to the Department.</p>
<p>5. The person taking the action must publish a report on their website addressing compliance with each of the conditions of this approval, including implementation of any management plans and strategies required by condition 1. The reporting period and report publication must comply with conditions D10 and D15 of Schedule 2 of the state development consent. Documentary evidence providing proof of the date of publication must be provided to the Department (by email to EPBCMonitoring@environment.gov.au or an address as stipulated by the Department) at the same time as the compliance report is published. The person taking the action must continue to publish the report until such time as agreed in writing by the Minister.</p>	Compliant	<p>The WCPL 2019 Annual Review (including this report) will be published on the Peabody Energy website https://www.peabodyenergy.com/Operations/Australia-Mining/New-South-Wales-Mining/Wambo-Approvals.-Plans-Reports.</p>

Condition	Status	Comment
<p>6. Any potential or actual contravention of the conditions of this approval, including contravention of a commitment made in a management plan or strategy required by condition 1 must be reported to the Department no later than 7 business days of the person taking the action becoming aware of the actual or potential contravention, by email to EPBCMonitoring@environment.gov.au or an address as stipulated by the Department.</p>	Not applicable	No events contravening (or potentially contravening) the conditions of this approval have occurred.
<p>7. Upon the direction of the Minister, the person taking the action must ensure that an independent audit of compliance with the conditions of approval is conducted and a report submitted to the Minister. The independent auditor and audit criteria must be approved by the Minister prior to the commencement of the audit. The audit report must address the criteria to the satisfaction of the Minister.</p>	Not applicable	Upon the direction of the Minister, WCPL will ensure that an independent audit of compliance with the conditions of approval is conducted and a report submitted to the Minister.
<p>8. If, at any time after 5 years from the date of this approval, the person taking the action has not substantially commenced the action, then the person taking the action must not commence the action without the written agreement of the Minister.</p>	Compliant	WCPL commenced the action within five years of the date of the approval of EPBC 2016/7816.